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| FORM PTO-1449<br><br>LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT<br>(use several sheets if necessary) | SERIAL NO.<br>09/771,156            | CASE NO.<br>10767/9    |
|   | FILING DATE<br>January 26, 2001     | GROUP ART UNIT<br>2661 |
|   | APPLICANT(S): DAVID A. MALTZ ET AL. |                        |

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE     | NAME             | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|----------|------------------|-----------------|-------------|
| PL               | A1 6,148,337    | 11/14/00 | ESTBERG ET AL.   |                 |             |
| PL               | A2 6,108,782    | 08/22/00 | FLETCHER ET AL.  |                 |             |
| PL               | A3 6,085,243    | 07/04/00 | FLETCHER ET AL.  |                 |             |
| PL               | A4 6,075,631    | 06/13/00 | BALA ET AL.      |                 |             |
| PL               | A5 6,073,248    | 06/06/00 | DOSHI ET AL.     |                 |             |
| PL               | A6 6,021,113    | 02/01/00 | DOSHI ET AL.     |                 |             |
| PL               | A7 6,009,103    | 12/28/99 | WOUNDY           |                 |             |
| PL               | A8 5,974,237    | 10/26/99 | SHURMER ET AL.   |                 |             |
| PL               | A9 5,948,055    | 09/07/99 | PULSIPHER ET AL. |                 |             |
| PL               | A10 5,878,420   | 03/02/99 | DE LA SALLE      |                 |             |
| PL               | A11 5,848,244   | 12/08/98 | WILSON           |                 |             |
| PL               | A12 5,781,735   | 07/14/98 | SOUTHARD         |                 |             |
| PL               | A13 5,315,580   | 05/24/94 | PHAAL            |                 | RECEIVED    |

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## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES | TRANSLATION NO |
|------------------|-----------------|------|---------|-----------------|-----------------|----------------|
|                  |                 |      |         |                 |                 |                |
|                  |                 |      |         |                 |                 |                |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|------------------|--|--|
| PL               | A14  | <i>A Spare Capacity Planning Methodology for Wide Area Survivable Networks</i> , by Adel Al-Rumaih, 1999 (192 pages).                        |
| PL               | A15  | "IP Network Configuration for Traffic Engineering" by Anja Feldman and Jennifer Rexford, ATT TR-000526-02, May 2000 (22 pages).              |
| PL               | A16  | "Traffic Engineering for the New Public Network" by Chuck Semeria of Juniper Networks, Publication No. 200004-004 September 2000, pages 1-23 |
| PL               | A17  | "NetScope: Traffic Engineering for IP Networks," Feldmann et al., IEEE Network, March/April 2000, pages 11-19.                               |
| PL               | A18  | "MPLS and Traffic Engineering in IP Networks," Awdanche, IEEE Communications Magazine, December 1999, pages 42-47.                           |
| PL               | A19  | "Measurement and Analysis of IP Network Usage and Behavior," Caceres et al., IEEE Communications Magazine, May 2000, pages 144-151.          |
| PL               | A20  | "RATES: A Server for MPLS Traffic Engineering," P. Aukia et al., IEEE Network Magazine, March/April 2000, pages 34-41.                       |

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| EXAMINER<br><i>Philip Lw</i> | DATE CONSIDERED<br><i>6/16/04</i> |
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| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|------------------|--|--|
| PL               | A21  | "Free-Space Micromachined Optical Switches with Submillisecond Switching Time for Large-Scale Optical Cross-Connects," L. Y. Lin et al., IEEE Photonics Technology Letters, vol. 10, no. 4, April 1998, pages 525-527. |
| PL               | A22  | "A High-Speed Low-Voltage Stress-Induced Micromachined 2x2 Optical Switch," Chen et al., IEEE Photonics Technology Letters, vol. 11, no. 11, November 1999, pages 1396-1398.   |
| PL               | A23  | "Low-Loss Polarization-Insensitive InP-InGaAsP Optical Space Switches for Fiber Optical Communication," R. Krahnenbuhl et al., IEEE Photonics Technology Letters, vol. 8, no. 5, May 1996, pages 632-634.              |
| PL               | A24  | "Labeled Optical Burst Switching for IP-over-WDM Integration," C. Qiao, IEEE Communications Magazine, September 2000, pages 104-114.   |
| PL               | A25  | "Approaches to Optical Internet Packet Switching," Hunter et al., IEEE Communications Magazine, September 2000, pages 116-122.   |
| PL               | A26  | "cflowd design," Daniel W. McRobb, September 1998, 4 pages.  |
| PL               | A27  | "FlowCollector Overview," NetFlow FlowCollector Installation and User Guide, 1996-1999, Chapter 1, pages 1-1 to 1-8.   |
| PL               | A28  | "NetFlow Export Datagram Format," NetFlow FlowCollector Installation and User Guide, 1996-1999 Appendix B, pages B-1 to B-8.   |
| PL               | A29  | "NeTraMet - a Network Traffic Flow Measurement Tool," <a href="http://www.caida.org/tools/measurement/netrismet/">http://www.caida.org/tools/measurement/netrismet/</a> , May 24, 2001, 3 pages.                       |
| PL               | A30  | "MainStation," <a href="http://www.makesystems.com/products/MainStation.html">http://www.makesystems.com/products/MainStation.html</a> , 2000, 3 pages.  |

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